



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/074,665 | 02/13/2002 | Thomas J. Kennedy | P-4628-3-C1-3-C1 |

CONFIRMATION NO. 8236

24492

MICHELLE BUGBEE, ASSOCIATE PATENT COUNSEL
SPALDING SPORTS WORLDWIDE INC
425 MEADOW STREET
PO BOX 901
CHICOPEE, MA 01021-0901

FORMALITIES LETTER



10C000000007609618*

Date Mailed: 03/08/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Y.G.

Customer Service Center

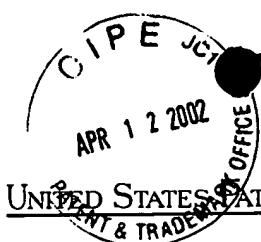
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/15/2002 SSESHE1 00000112 170150 10074665

01 FC:105 130.00 CH

Receipt



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|----------------------|----------|------------|------------|
| 10/074,665 | 02/13/2002 | 3711 | 950 | P-4628-3- C1-3-C1 | 1 | 27 | 4 |

CONFIRMATION NO. 8236

24492

MICHELLE BUGBEE, ASSOCIATE PATENT COUNSEL
 SPALDING SPORTS WORLDWIDE INC MAR 11 2002
 425 MEADOW STREET
 PO BOX 901
 CHICOPEE, MA 01021-0901

FILING RECEIPT



'OC000000007609617'

Date Mailed: 03/08/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

II

Thomas J. Kennedy, Wilbraham, MA;
 Michael J. Tzivanis, Chicopee, MA;
 Viktor Keller, Bradenton, FL;
 William M. Risen JR., Rumford, RI;
 Mark L. Binette, Ludlow, MA;
 John L. Nealon, Springfield, MA;

~~Michael J. Sullivan, Barrington, RI~~ Not an Inventor

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/993,422 11/05/2001
 WHICH IS A CIP OF 09/783,484 02/14/2001
 CON WHICH IS A ~~CIP~~ OF 09/040,456 03/18/1998 PAT 6,213,894
 WHICH IS A CIP OF 08/631,613 04/10/1996 PAT 5,803,831
 WHICH IS A CIP OF 08/591,046 01/25/1996 ABN
 AND A CIP OF 08/542,793 10/13/1995 ABN
 WHICH IS A CIP OF 08/070,510 06/01/1993 ABN
 THIS APPLICATION 10/074,665
 IS A CIP OF 09/411,690 10/01/1999 PAT 6,290,614
 WHICH IS A CIP OF 09/040,798 03/18/1998

Foreign Applications

If Required, Foreign Filing License Granted 03/08/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Multi-layer golf ball

Preliminary Class

463

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: Thomas J. Kennedy, III, et al
Serial No.: 10/074,665
Filing Date: 02/13/2002
For: **MULTI-LAYER GOLF BALL**

Hon. Commissioner of Patents and Trademarks
Washington, DC 20231

ATTN: Box Missing Parts

Sir:

RESPONSE TO MISSING PARTS NOTICE

In response to the NOTICE TO FILE MISSING PARTS OF APPLICATION, FILING DATE GRANTED, mailed March 8, 2002, enclosed herewith is a copy of the duly executed declaration document from parent Application Serial No. 09/993,422 filed on November 5, 2001, on behalf of the inventor(s) for filing with the above-identified application.

This application has only six inventors. Michael J. Sullivan is not an inventor and therefore his signature does not appear on the declaration form.

Please charge the \$130.00 (Eff. 10/1/01) surcharge fee and any other applicable fees to our deposit account no. **17-0150**.

If the Examiner has any questions or needs additional information before proceeding in this matter, please give the undersigned attorney a call at (413) 322-2937.

Respectfully submitted,
Thomas J. Kennedy, III, et al

Date: April 4, 2002
Customer No.: 24492
Telephone No. (413) 322-2937
Our Ref.: P-4628-3-C1-3-C1
SLD 2 0218-3-1-3(I)
MB/lat
Enc.

By Michelle Bugbee
Michelle Bugbee
Registration No. 42,370
Spalding Sports Worldwide, Inc.
P.O. Box 901
425 Meadow Street
Chicopee, MA 01021-0901

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

FACSIMILE

deposited with the United States Postal Service
with sufficient postage as first class mail, in an
an envelope addressed to the Assistant Commissioner
for Patents, Washington, D.C. 20231

transmitted by facsimile
to the Patent and Trademark
Office.

Date: April 4, 2002

Leslie A. Tzivanis
Signature
Leslie A. Tzivanis
(type or print name of person certifying)



#4

P-4628-3-C1-3-C1 PATENT
ON THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Thomas J. Kennedy, III, et al
For: MULTI-LAYER GOLF BALL

Serial No: 10/074,665

Group Art Unit: 3711

Filed: February 13, 2002

Examiner: Not Yet Assigned

Assistant Commissioner for Patents

Washington, DC 20231

ATTN: Office of Initial Patent Examination
Customer Service Center

Sir:

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a corrected Filing Receipt is respectfully requested.
2. There is an error in that the following data is

| | | | |
|-----------|-----------------------------------|---|---------------------|
| <u>XX</u> | incorrectly entered and/or | — | omitted |
| <u>XX</u> | Applicant's name | — | Applicant's address |
| — | Title | — | Filing Date |
| — | Serial Number | — | |
| — | Foreign/PCT Application Reference | — | |
| <u>XX</u> | Other: Continuing Data | — | |

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

FACSIMILE

XX deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: April 4, 2002

transmitted by facsimile to the Patent and Trademark Office.


Leslie A. Tzivanis

In the filing receipt under "Applicants", the first inventor's name should be corrected as follows (changes bolded and underlined):

Thomas J. Kennedy, III

Also in the filing receipt under "Applicants", please remove the last inventor, Michael J. Sullivan, Barrington, RI. Michael J. Sullivan is not an inventor.

In the filing receipt under "Domestic Priority", the third line should be corrected as follows (changes bolded and underlined):

WHICH IS A CON OF 09/040,456 03/18/1998 PAT 6,213,894

The following documents are provided for verification of the above and for your convenience with respect to the above-identified patent application:

A copy of the "Preliminary Amendment" and "Declaration" filed with this request.

3. **XX** The correction is not due to any error by Applicant and no fee is due.
— The correction is due to Applicant's error and the fee therefor under 37 C.F.R. § 1.19(h) of \$25.00 is paid as follows:
— Please charge Deposit Account 17-0150 in the amount of \$25.00.
XX Please charge Deposit Account 17-0150, if any applicable fees are deemed necessary in this Request For Corrected Filing Receipt.

Respectfully submitted,
Thomas J. Kennedy, III, et al

Date: April 4, 2002
Customer No. 24492
(413) 322-2937
MB/lat
Other Enc. Return Receipt Postcard (MPEP503)

Michelle Bugbee
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